1	ETHANE IN PLANETARY AND COMETARY ATMOSPHERES:
2	Transmittance and fluorescence models of the $\nu_7$ band at 3.3 $\mu m$
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#### Abstract

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Ethane and other hydrocarbon gases have strong ro-vibrational transitions in the 3.3 µm spectral region owing to C-H, CH<sub>2</sub>, and CH<sub>3</sub> vibrational modes, making that spectral region prime for searching possible biomarker gases in extra-terrestrial atmospheres (e.g. Mars, exoplanets) and organic molecules in comets. However, removing ethane spectral signatures from high-resolution terrestrial transmittance spectra has been imperfect, because existing quantum mechanical models have been unable to reproduce the observed spectra with sufficient accuracy. To redress this problem, we constructed a lineby-line model for the  $v_7$  band of ethane ( $C_2H_6$ ), and applied it to compute telluric transmittances, and cometary fluorescence efficiencies. Our model considers accurate spectral parameters, vibration-rotation interactions, and a functional characterization of the torsional hot-band. We integrated the new band model into an advanced radiative transfer code for synthesizing the terrestrial atmosphere (LBLRTM), and achieved excellent agreement with transmittance data recorded against Mars using three different instruments located in the Northern and Southern hemispheres. The retrieved ethane abundances demonstrate the strong hemispheric asymmetry noted in earlier surveys of volatile hydrocarbons. We also retrieved the sensitive limits to the abundance of ethane on Mars. The most critical validation of the model was obtained by comparing simulations of C<sub>2</sub>H<sub>6</sub> fluorescent emission with spectra of three hydrocarbon-rich comets: C/2004 Q2 (Machholz), 8P/Tuttle, and C/2007 W1 (Boattini). The new model accurately describes the complex emission morphology of the  $v_7$  band at low rotational temperatures and greatly increases the confidence of the retrieved production rates (and rotational temperatures) with respect to previously available fluorescence models.

#### 1. Introduction

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Ground-based infrared astronomy is a powerful tool for characterizing molecular compositions of cometary and planetary atmospheres. In the 1990's, advances in infrared detectors enabled scientists to study bright infrared lines in comets and planets and to characterize their chemical compositions with unprecedented sensitivity. In 1999, commissioning of the first cross-dispersed infrared spectrometer at a large aperture telescope (NIRSPEC at Keck-2, McLean et al. 1998) closed the century that saw infrared spectroscopy transition from single element thermopiles behind low-resolution spectrographs to million-pixel detector arrays behind high-resolution spectrometers that span the entire 1-5 um wavelength range. Similar instruments followed quickly at other giant telescopes, together driving a revolution in molecular astronomy. The higher sensitivities and improved spectral resolution of these instruments have highlighted the limitations of current models for analyzing spectra acquired with them, and have revealed ancillary issues introduced by incomplete and/or incorrect molecular parameters. The latter problem is especially acute in the important near infrared spectral region where all aliphatic and aromatic hydrocarbons have infrared-active vibrational modes. Hydrocarbon gases have strong ro-vibrational transitions in the 3.3 µm spectral region owing to C-H, CH<sub>2</sub>, and CH<sub>3</sub> vibrational modes, making that spectral region prime for searching possible biomarker gases in extra-terrestrial atmospheres (e.g., Mars, exoplanets) and organic molecules in comets. For example, ethane has strong  $v_7$  and  $v_5$ fundamental bands with origins at wavelengths (frequencies) near 3.35 µm (2985 cm<sup>-1</sup>) and 3.45 µm (2896 cm<sup>-1</sup>), respectively. The discovery of abundant ethane in comet C/1996 B2 (Hyakutake) [Mumma et al. 1996], and its detection in every comet sampled

1 since then [DiSanti and Mumma 2008, Mumma & Charnley 2011, and refs. therein], 2 created a need for accurate models of solar-pumped fluorescence for these two 3 vibrational bands. A simple initial model for the four O-branches seen in Hyakutake was 4 soon extended to account for the increased number of Q-branches observed in C/1995 O1 5 (Hale-Bopp) [Dello Russo et al 2001]. The rapid increase in power of cross-dispersed and 6 high resolution infrared spectrometers (NIRSPEC in 1999, CRIRES in 2005) soon 7 revealed even more spectral lines of ethane  $v_7$  [Mumma et al. 2001, Dello Russo et al. 8 2006] and stimulated the first systematic study of  $v_5$  [Radeva et al. 2011], in comets and 9 in Earth's atmosphere. However, the incomplete or improper accounting of ethane in 10 public spectral databases seriously constrained the accuracy of models for telluric 11 transmission and thus limited the achievable sensitivities for data taken using ground-12 based telescopes. 13 In this paper, we present a complete quantum mechanical model for the ro-vibrational  $v_7$ 14 band of ethane (C<sub>2</sub>H<sub>6</sub>), using more accurate spectral parameters. We next discuss the 15 limitations of molecular databases and models for synthesizing telluric atmospheric 16 transmittance and the solar spectrum, and we present improved models for this purpose. 17 We then obtain new line-by-line fluorescence efficiencies for ethane in space by coupling 18 the new  $v_7$  band model with the solar spectrum (including Fraunhofer lines). Finally, we 19 synthesize the terrestrial transmittance spectrum using improved molecular parameters 20 (including ethane  $v_7$ ). We illustrate the increases in accuracy and sensitivity achieved, by 21 applying these models to ground-based spectra of Mars and of comets [C/2004 Q2 22 (Machholz), 8P/Tuttle, and C/2007 W1 (Boattini)].

### 2. The Ethane model

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2 HITRAN is a comprehensive database of molecular parameters that is widely used by the 3 spectroscopic community for synthesizing and analyzing spectra of atmospheric gases. 4 The database undergoes regular updates and additions, and its completeness has 5 improved greatly in recent years. The latest version [Rothman et al. 2009] contains 6 almost 3 million lines from 42 molecules, yet the database is still not sufficient in some 7 spectral regions. Ethane provides a good example. Even though C<sub>2</sub>H<sub>6</sub> is present in the 8 terrestrial atmosphere at only trace amounts (0.1–2 ppbv), the lines of its strongest band 9  $(v_7)$  are prominent in high-resolution atmospheric spectra. The latest HITRAN 10 distribution includes parameters for some Q- branches derived by Brown et al. [1987] from ATMOS spectra, with later improvements for the PQ3 sub-band from Pine and 11 12 Rinsland [1999]. P- and R-branch lines are not listed. Here, we present a complete quantum mechanical band model for the v<sub>7</sub> band, including lines of P-, Q-, and R-13 14 branches.

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#### 2.1. The ro-vibrational structure

For decades, laboratory scientists have extensively studied the ro-vibrational bands of  $C_2H_6$ , but due to the complex morphology of the ethane bands and strong spectral confusion only a limited set of spectroscopic constants have been retrieved. Ethane is composed of two methyl groups in which H-atoms of the  $CH_3$  groups are sterically staggered by  $60^{\circ}$  about the C-C axis of three-fold symmetry). The molecule has twelve fundamental vibrations involving C-H and C-C stretching ( $\nu_1$ ,  $\nu_3$ ,  $\nu_5$ ,  $\nu_7$ ,  $\nu_{10}$ ),

deformation of the methyl group  $(v_2, v_6, v_8, v_{11})$ , torsion  $(v_4)$ , and bending  $(v_9, v_{12})$ . The

2 perpendicular band at 3.3  $\mu$ m ( $\nu$ <sub>7</sub>) is infrared active and originated by stretching of the C-

3 H bonds of individual methyl groups (see Fig. 1a). The characterization of rotational

structure in this complex molecule is non-trivial because the  $v_7$  band is severely perturbed

by overtones and combination states (with a low frequency torsional mode ( $v_4$ ) at ~289

6 cm<sup>-1</sup>) that are in Fermi or Coriolis resonance with  $v_7$  [Pine and Lafferty, 1982]. For some

K sub-bands (e.g., RQ<sub>5</sub>) the perturbations are so severe that the energy levels cannot be

8 simply described using a standard power series expansion [Pine and Lafferty, 1982].

9 Description of the energy levels was performed using linear progressions of J, K and  $\ell$ 

10 (where "denotes lower state and 'upper state). Considering that C<sub>2</sub>H<sub>6</sub> is a prolate

symmetric top molecule, the quantum numbers J and K respectively indicate the total

angular momentum of the molecule and its projection onto the symmetry-axis, thus  $K \leq J$ 

(see Fig. 1b). For the ground vibrational state (see Fig. 1c) we applied the constants

14 compiled by Pine and Lafferty 1982 (summarized in Table 1) in the form of:

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$$E''(J'',K'') = (A-B)K''^{2} + BJ''(J''+1) - D_{J}J''^{2}(J''+1)^{2} - D_{JK}J''(J''+1)K''^{2} - D_{K}K''^{4}$$
 (1)

We have had success using this simple progression for most sets of lines, but have

encountered problems when trying to match the P- , R-, and Q-lines of K  $\ell$  "=0 ( $\Delta$ K=1).

This difficulty indicates the limitations of this simple approximation, and a more detailed

and complete model of the energies for the ground state is required to correctly model all

fundamental bands of ethane. We have provisionally circumvented this problem by

defining a different set of upper-state rotational constants for  $\Delta J=0$  and  $\Delta J\neq 0$  for  $K\ell'=1$ 

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1 For the upper state, Goldman et al. [1989] obtained a relatively accurate set of rotational 2 constants for each K-ladder using high-resolution spectra recorded by Cole et al. [1980] 3 and Pine and Lafferty [1982]. As described by Goldman et al. [1989], this power series 4 approximation of J and K does not fully characterize the complexity of the  $v_7$  band, but it does achieve a reasonable precision of 0.004 to 0.010 cm<sup>-1</sup> in frequency (see Table 2 of 5 6 [Goldman et al. 1989]) for a limited number of lines. In 1996, Pine and Stone [1996] provided refined rotational constants for a limited set of Q-lines of RQ0, PQ3 and RQ3 7 (including splittings by torsional tunneling and A<sub>1</sub>-A<sub>2</sub> doublings). More recently, 8 9 Harrison et al. [2010] have obtained high-resolution line strengths (cross sections) for 10 ethane in the 3 µm region at temperatures between 194 and 297K and total pressures 11 from 0.0689 Torr to 763.48 Torr. Using this dataset we identified 466 lines, which we 12 consolidated with 122 lines reported by Dang-Nhu et al. [1984] and 66 observed by Pine 13 and Stone [1996], ultimately deriving rotational constants for 30 K-ladders [see Table 1] 14 of the  $v_7$  band of ethane. The upper state energies are calculated following:

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$$E'(J',K\ell') = F'_{K\ell'} + B'_{K\ell'} J'(J'+1) - D'_{K\ell'} J'^2 (J'+1)^2 + H'_{K\ell'} J'^3 (J'+1)^3 + T_{K\ell'} (J')$$
 (2)

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where the F, B, D and H coefficients were fitted for each K-ladder using the compilation of lines reported above. We retrieved the third order coefficient (H) only when the precision of line frequencies was sufficient to quantify this parameter. For some K-ladders we observe strong perturbations, which cannot be described using a simple linear progression. These perturbations were described using the following formula:

21 
$$T_{K(!)}(J') = P1_{K(!)} \cdot (J' - P2_{K(!)}) \cdot \exp(P3_{K(!)} \cdot |J' - P2_{K(!)}|)$$
 (3)

- 1 where P1, P2 and P3 are the perturbation coefficients summarized in Table 1. A
- 2 representation of these perturbations for <sup>R</sup>Q<sub>2</sub> is presented in Figure 2. For lines beyond
- 3  $K\ell' < -8$  and  $K\ell' > 10$ , we neglect the T and H terms of equation 3, and describe the
- 4 energy origins (F) and effective rotational constant (B) following Goldman et al. [1989]
- 5 with:

$$F' = v_0 - 2(A\varsigma)'K'\ell' + (A'-B')K'^2 - D'_K K'^4 + \eta'_K K'^3\ell'$$
(4)

8 
$$B' = B_0 - D'_{JK} K^{\prime 2} + \eta'_J K \ell'$$
 (5)

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- in which the parameters [see values in Table 1] were fitted to all identified lines, with the
- exception of lines accessing K'' = 4, 5, 6 which appear to be especially perturbed.
- 12 Using this energy model, we obtained a standard deviation of 0.005 cm<sup>-1</sup> for 654 lines
- 13 with quantum numbers:  $-8 \le K \, \ell \, ' \le 10,$  and  $J' \le 28$  (see Figure 2). These solutions do
- provide good results for the selected lines, but because of the numerous perturbations
- 15 their validity for higher quanta and weaker spin species is uncertain. The use of
- individual constants for each K-ladder provides a major advance (see spectra in Figure 3),
- 17 corresponding to a 36-fold improvement with respect to the 0.18 cm<sup>-1</sup> standard deviation
- obtained using a single progression (equations 4 and 5) with the global factors in Table 1,
- and a 50-fold improvement to the 0.25 cm<sup>-1</sup> standard deviation obtained using the global
- constants provided by Goldman et al. [1989]; see comparison in Figure 2.

# 2.2 Spin symmetries and spin temperature

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2 The characterization of the unique symmetries in the ethane molecule is particularly 3 confusing, especially because different authors consider different notations depending on 4 the assumed point group model. If internal rotation tunneling is neglected, the symmetries 5 of the levels can be described with a point group D<sub>3d</sub> (staggered) model having three spin modifications (A1, A2, E) with 'g' and 'u' notations. In cases where torsional tunneling 6 leads to noticeable splittings, the symmetries are best described with the G<sup>+</sup><sub>36</sub> 7 permutation-inversion group having seven different symmetries (A<sub>1s</sub>, A<sub>4s</sub>, E<sub>1s</sub>, E<sub>2s</sub>, E<sub>3s</sub>, 8  $E_{4s}$ ,  $G_s$ ). Symmetries of rotational levels in the ground and  $v_7$  vibrational states are 9 10 presented in Table 1 for both point groups, including statistical weights (w" and w'). 11 Because both notations use similar letters (A, E), the reader should be careful not to 12 confuse these definitions when examining previous publications. In this paper, we make 13 use of both notations and distinguish between these definitions by preserving the spin label subscripts ('g' and 'u' for the D<sub>3d</sub> model, and 's' for the G<sup>+</sup><sub>36</sub> model). Lines are only 14 15 permitted between  $A \leftrightarrow A$ ,  $E \leftrightarrow E$  and  $G \leftrightarrow G$  levels (for both notations), and thus exchange 16 between different spin species is normally considered negligible. 17 The spin ratios (or equivalent spin temperature, see Figure 4) can be related to the 18 formation conditions of the molecule, and ultimately be used as a cosmogonic indicator. 19 Unfortunately little is known about the interconversion of spin states in molecules with 20 such high dimensional symmetry. A similar molecule (C<sub>2</sub>H<sub>4</sub>) was studied by Sun et al. 21 [2005], who observed conversions among nuclear spin isomers but no exchange in the 22 inversion symmetry. But in the case of ethane, its molecular symmetry group does not 23 have a unique element relating to inversion in space, as nicely summarized by Hougen

- and Oka [2005]. Thus, the relationship between spin ratio at formation and after elapsed
- 2 times of order billions of years is uncertain; further studies will be required to properly
- 3 assess this property of the ethane molecule. As shown in Figure 4, the relationship
- 4 between E<sub>g</sub>/A<sub>g</sub> becomes equilibrated at very low temperatures (>10K), much lower than
- 5 for the curves of H<sub>2</sub>O, NH<sub>3</sub> and CH<sub>4</sub>.

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#### 2.3. Line intensities

- 8 The selection rules for the  $v_7$  band of ethane are:  $\Delta J = J' J'' = +1,0,-1$ ;  $\Delta K = K' K'' = \ell' =$
- 9 +1,-1, and A $\leftrightarrow$ A, E $\leftrightarrow$ E, G $\leftrightarrow$ G. Line intensities S<sub>v</sub> [cm<sup>-1</sup> (molecule cm<sup>-2</sup>)<sup>-1</sup>] between
- allowed upper and lower states with K'' < 20 and J'' < 50 were computed as following:

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$$S_{v}(T) = (v/v_0)S_{v}^{0}(T)L_{HL}(J'',K'',\Delta J,\Delta K)F_{HW}(J'',K'',\Delta J,\Delta K)SE(v)Pop(E'',w'',T)$$
 (6)

- where v is the line frequency (E'-E" [cm<sup>-1</sup>]),  $v_0$  is the band center [cm<sup>-1</sup>],  $S_v^0$  is the band
- intensity  $[cm^{-1} (molecule cm^{-2})^{-1}$ , see Table 1],  $L_{HL}$  is the Hönl-London factor,  $F_{HW}$  is the
- 14 Herman-Wallis factor, SE is the stimulated emission factor and Pop is the fractional
- population of the lower state.
- The Hönl-London factor ( $L_{HL}$ ) for a perpendicular band ([Herzberg 1945, p426],  $\Delta J = J'$ -
- J",  $m_L$  is 4 for K" $\neq$ 0 or 2 for K"=0) is calculated as:

$$\Delta J = 1 \qquad L_{HL}(J'', K'', \Delta K) = \frac{(J'' + 2 + K'' \Delta K)(J'' + 1 + K'' \Delta K)}{m_L(J'' + 1)(2J'' + 1)}$$

$$\Delta J = 0 \qquad L_{HL}(J'', K'', \Delta K) = \frac{(J'' + 1 + K'' \Delta K)(J'' - K'' \Delta K)}{m_L J''(J'' + 1)}$$

$$\Delta J = -1 \qquad L_{HL}(J'', K'', \Delta K) = \frac{(J'' - 1 - K'' \Delta K)(J'' - K'' \Delta K)}{m_L J''(2J'' + 1)}$$
(7)

- 1 The Herman-Wallis factor (F<sub>HW</sub>) corrects for vibration-rotation interactions, since the
- 2 effective dipolar operator of a molecule (and thus the intensity of a spectral line) depends
- 3 not only on the total angular momentum but on vibrational operators as well. The
- 4 Herman-Wallis factor was calculated as:

$$F_{HW}(J'',K'',\Delta J,\Delta K) = \left[1 + \alpha K'' \Delta K + \beta \Delta J \left(J'' + \frac{1}{2} + \frac{\Delta J}{2}\right) + \cdots\right]^2$$
(8)

- 6 where  $\alpha$  and  $\beta$  are the coefficients presented in Table 1. Stimulated emission is described
- 7 with  $SE(v) = [1 \exp(-vhc/kT)]$ , and the relative population as Pop(w'',E'',T) = w''
- 8 exp(-E"hc/kT) /  $Q_R(T)$ , where hc/K is the second radiation constant and  $Q_R(T)$  the
- 9 rotational partition function at temperature T ( $Q_R(296K) = 51617$ ).
- 10 The three spectroscopic parameters that describe the intensity of the  $v_7$  band ( $S_v^0$ ,  $\alpha$  and
- 11  $\beta$ ) were quantified by Dang-Nhu el al. [1984, 1987] to be  $S_v^{0}(296K) = 349\pm4.6$
- 12 cm<sup>-2</sup> atm<sup>-1</sup>,  $\alpha = 0.0144 \pm 0.0012$  and  $\beta = 0$  (assumed). We observe a change in the sign in
- the second term of the Herman-Wallis equation in Dang-Nhu et al. [1987] with respect to
- Dang-Nhu et al. [1984], implying a negative value of  $\alpha = -0.0144$ , that could be related to
- a misprint in [Dang-Nhu et al. 1987]. Using the latest cross-sections reported by Harrison
- 16 et al. [2010] we retrieved  $\alpha$  = 0.0096  $\pm$  0.0020 and  $\beta$  = -0.0034  $\pm$  0.0020. Our value of  $\alpha$
- 17 is within  $2\sigma$  of that reported by Dang-Nhu et al. [1984].
- 18 The accuracy and precision of measurements of the total band intensity  $(S_v^0)$  are directly
- 19 related to the considered calibration standards, and the scheme considered to mitigate for
- 20 spectral confusion. The latter is particularly crucial for ethane near 3.3 μm since multiple
- fundamental (e.g.  $v_7$  and  $v_5$ ), combination (e.g.  $v_8+v_{11}$ ), and hot-bands (e.g.  $v_7+v_4-v_4$ )

overlap at these wavelengths (see Figures 3 and 6). Harrison et al. [2010] have gone to great extents to obtain accurate absorption cross sections for ethane at these wavelengths, with an overall uncertainty of 4%. Their cross-sections were calibrated against PNNL spectra (Pacific Northwest National Laboratory IR database, http://nwir.pnl.gov), which are now considered the gold standard for ethane. Considering these new absorption cross-

sections and taking into account the first torsional hot-band (see details in section 2.6),

7 we derived a  $S_v^{\ 0}$  of 301 cm<sup>-2</sup> atm<sup>-1</sup> for the  $\nu_7$  band, a correction of 14% with respect to the

value previously reported by Dang-Nhu et al. 1984.

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# 2.4 Einstein A<sub>21</sub> coefficients

Einstein-A coefficients were computed following Šimečková et al. [2006]:

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$$A_{21} = \frac{8\pi c v^2 Q_{tot}(T) S_v(T)}{\left[1 - \exp(-hcv/kT)\right] \left[\exp(-E'' hc/kT)\right] I_a w'}$$
 (8)

where  $Q_{tot}(T)$  is the total internal partition sum (TIPS, Fischer et al. 2003) (TIPS = 70881 at 296 with  $Q_v(296) = 1.3732$ ), and  $I_a$  is the isotopic abundance ( $I_a = 0.97699$  for normal

15  $C_2H_6$ ).

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# 2.5 Lineshape parameters

Malathy Devi et al. [2010a,b] reported an extensive and comprehensive study of line shape parameters (self- and N<sub>2</sub>-broadening half widths and their temperature dependence) for the v<sub>9</sub> band (825 cm<sup>-1</sup>) of ethane using a multispectrum analysis of data acquired at

PNNL and at the Jet Propulsion Laboratory (JPL). We applied the temperature dependence of the broadening coefficients using constants reported in Table 2 of Malathy Devi et al. [2010a] for  $K'' \le 9$  and half-width coefficients (self and  $N_2$ ) from Table 5 of Malathy Devi et al. [2010b] for  $K'' \le 3$ . For lines accessing K'' higher than the available measurements, we considered the mean progressions as presented in Figure 5. For pressure-shifts we consider the N2-broadened pressure-induced shifts of -0.004 cm<sup>-1</sup>/atm derived by Pine and Stone [1996] from RQ0 and PQ3. A summary of the coefficients is presented in Table 1.

#### 2.6. Torsional hot-bands

Ethane has a low energy torsional mode ( $v_4$ ) with its first excited level at 289 cm<sup>-1</sup>, and thus hot-bands associated with this level ( $v_X + v_4 - v_4$ ) are relatively prominent at moderate temperatures. Spectroscopists have resorted to low temperatures and/or extremely high-resolution laboratory experiments to minimize/remove the confusion of hot and cold bands [e.g. Dang-Nhu et al. 1984, Pine & Stone 1996]. Using sub-Doppler molecular-beam optothermal spectroscopy, Pine and Stone [1996] resolved the complex structure of the  $^PQ_3$ ,  $^RQ_0$  and  $^RQ_3$  sub-bands. Their measurements revealed new absorption lines, which Pine and Rinsland [1999] attributed to a torsional hot band ( $v_7 + v_4 - v_4$ ,  $E_g - A_{1u}$ ) with an intrinsic intensity ( $S_{vHOT}^0$ ) of  $\sim 80\%$  to that of the fundamental ( $S_v^0$ ). Considering equation 6, the band intensity measured by Pine and Rinsland [1999] contributed  $\sim 20\%$  (80%/4) of the  $v_7$  band at 296K, if the summed population in the first torsional level was one-fourth as large as that of the ground state at

- 1 296K. The hot band contribution is greatly reduced at cometary temperatures, and for
- 2 instance at 100K is only 1% if we assume that vibrational populations are thermally
- 3 equilibrated.
- 4 Unfortunately there are no spectral constants for the  $v_7 + v_4 v_4$  band, and Pine and
- 5 Rinsland [1999, Table 1] only provided coarse estimates for parameters of the hot
- 6 analogue of the <sup>P</sup>Q<sub>3</sub> sub-band by simulating the band contour observed by Pine and Stone
- 7 [1996]. The  $\nu_7 + \nu_4$ -ground (E<sub>g</sub>-A<sub>1g</sub>) combination band is observable through Raman
- 8 spectroscopy, and was tentatively detected by Fernandez et al. [2003], although the low
- 9 signal to noise ratio limited the extraction of reliable rotational constants for the  $v_7 + v_4$
- vibrational level. Their measurements however predict the location of the hot-band to be
- in the 2984-2950 cm<sup>-1</sup> frequency range, consistent with the findings of Pine & Rinsland
- 12 [1999] and with our results (Figure 6).
- We see strong extra absorption near the Q-branches of the  $v_7$  band (Figure 6a, b, c). We
- investigated the temperature dependence of these features by integrating around ( $\pm 0.3$
- cm<sup>-1</sup>) the strongest Q-branches, and observed that the ratio of data and model (Fig. 6d)
- 16 coincided with the vibrational partition function of the  $v_7$  level and its first torsional
- 17 component, confirming the origin of this absorption to be the  $v_7+v_4-v_4$  hot-band. We
- excluded the <sup>P</sup>Q<sub>1</sub> Q-branch from the analysis because it appeared slightly saturated in the
- Harrison et al. [2010] dataset. Due to the strong spectral confusion and complexity of this
- 20 hot-band system, it is not yet possible to extract reliable constants for this hot-band
- 21 system.

At 296K, the observed intensity of the hot-band was 25% with respect to the fundamental  $[\sum Sv_{7HOT}(296K)/\sum Sv_7(296K)]$ , similar to the findings of Pine and Rinsland [1999] for the PQ3 replica of ~20% (see above). Perhaps, a technique as considered by Oomens and Reuss [1996] to study the  $v_7+v_9-v_9$  hot-band of ethane should be employed to fully characterize the  $v_7+v_4-v_4$  hot-band. We currently account for the existence of the hot-band in our moderate resolution spectra, by computing a simplistic  $v_7+v_4-v_4$  line-list that considers the rotational constants of v<sub>4</sub> from Blass et al. 1990 (neglecting torsional subspecies), and fitted constants for the  $v_7+v_4$  using the data presented in Figure 6.

#### 2.7. Machine readable spectral atlas

Following the above guidelines, we computed 17,266 spectral lines with  $K_{max} = 20$  and  $J_{max} = 40$  in the 2900 cm<sup>-1</sup> to 3100 cm<sup>-1</sup> frequency range; 8,680 for the  $\nu_7$  band and 8,586 for the hot-band. The database has been organized following the HITRAN 2008 format with line identifications following the  $G^+_{36}$  point group model. To allow for future investigations of conversion efficiencies between different symmetries, we report lines for each symmetry individually, even if the lines are not resolved (e.g., a  $A_{34s}$ - $A_{12s}$  line is reported as two lines:  $A_{3s}$ - $A_{1s}$  and  $A_{4s}$ - $A_{2s}$ ). The vibrational indicators are V7, GROUND, V7+V4 and V4; while the local quantum numbers are J K and  $\ell$ . The atlas for the  $\nu_7$  band has been provided to the HITRAN team for further integration into their consolidated database. Due to the large uncertainty in the rotational constants of the hotband, we will only provide the atlas of the hot-band upon request, and to be used with moderate resolution spectra only.

# 3. Modeling of ethane in terrestrial atmospheres (LTE case)

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2 Ground-based spectra of extraterrestrial and cometary atmospheres are affected by 3 telluric absorption. The incoming spectral lines are often Doppler-broadened and they 4 experience extinction by atmospheric lines that may themselves approach the Doppler-5 broadened limit (e.g., gases with significant stratospheric and mesospheric components, 6 such as CH<sub>4</sub>, CO<sub>2</sub>, and O<sub>3</sub>). Analysis of spectra measured for such lines requires that 7 telluric transmittance be synthesized at sub-Doppler resolution (a spectral resolution of not more than 100 m/s, or 0.001 cm<sup>-1</sup> at 3000 cm<sup>-1</sup>). We applied the new model for C<sub>2</sub>H<sub>6</sub> 8 9  $(v_7)$  and torsional hot-band) to synthesize terrestrial spectra using an advanced radiative 10 transfer code for the terrestrial atmosphere that accesses a customized database of 11 spectral constants from 42 molecules including C<sub>2</sub>H<sub>6</sub> [see Fig. 7 and Appendix A]. 12 Proper synthesis of terrestrial spectra requires line-by-line, layer-by-layer radiative 13 transfer modeling of the atmosphere, in which the quality of the synthesized spectrum 14 depends directly on the robustness of the used set of radiative transfer equations, the 15 precision of the adopted spectroscopic constants, and the accuracy of the assumed 16 atmospheric conditions (Fig. 8 - P(z), T(z), abundance profiles, and geometrical 17 conditions). Until 2005 we used the Spectrum Synthesis Program (SSP, [Kunde and 18 Maguire 1974]) accessing the HITRAN 1992 [Rothman et al. 1992] to compute terrestrial 19 spectra. From 2005 until 2009, we used the more advanced GENLN2 v4 model [Edwards 20 1992], which provides highly realistic and Doppler-limited spectral synthesis of the 21 terrestrial atmosphere. We improved the GENLN2 v4 model by providing a correction to 22 properly account for spectral pressure-shifts, and introducing the capability to access the

- latest HITRAN databases [Villanueva et al. 2008a]. However, GENLN 2 is no longer
- 2 supported by NCAR (National Center for Atmospheric Research).
- 3 In 2005, a new efficient line-by-line radiative transfer became available, the LBLRTM
- 4 (Line-By-Line Radiative Transfer Model) [Clough et al. 2005]). Perhaps, one the biggest
- 5 advantages of this code relative to GENLN2, is that it is highly optimized, allowing us to
- 6 increase the number of layers and the number of spectral points for the same computation
- 7 time, ultimately achieving a more realistic atmospheric solution. In addition, this code is
- 8 maintained by AER (Atmospheric and Environmental Research, Inc.) and has been (and
- 9 continues to be) extensively validated against atmospheric spectra from the ultraviolet to
- the sub-millimeter. Even though the AER team provides a compiled spectral database to
- use with its LBLRTM, we have preferred to instead use the more universally recognized
- 12 HITRAN atlas as the base for our radiative transfer modeling (using LBLRTM) with our
- own corrections and updates tailored to our requirements [see Appendix A].
- 14 Using our updated spectral database and LBLRTM, we synthesized spectra for the
- complete L-band [ $2.8 4.2 \mu m$ , Fig. 7], with a spectral resolution of  $0.0005 \text{ cm}^{-1}$  and
- 16 consistent with a mean sampling power of  $\sim 5$  million  $(\lambda/\Delta\lambda)$ , or a velocity resolution of
- 17 60 m s<sup>-1</sup>. This novel method has been successfully applied to calibrate and process
- infrared spectra of planets [Figs. 9 and 10] and comets [Figs. 12, 13 and 14] taken with
- several instrument/telescope combinations (see Section 5).
- Even though we obtain very good results with our ethane  $v_7$  band model, the line list is
- based on a restricted set of spectral constants and this ultimately leads to limited precision
- 22 and accuracy. These imprecisions could cause assignment of improper transmittance

- 1 values for some incoming ethane lines due to accidental overlap with Doppler-broadened
- 2 lines of stratospheric O<sub>3</sub>. Further empirical studies will be needed to properly characterize
- 3 the complete structure of this band, including a full description of torsional tunneling
- 4 splittings, overtone perturbations, hot-bands, and A<sub>1</sub>-A<sub>2</sub> doublings for all K sub-bands.
- 5 We intend to expand our model as more complete ethane line parameters are obtained.

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### 4. Modeling of ethane in cometary atmospheres (non-LTE case)

The  $C_2H_6 \nu_7$  band is particularly bright in hydrocarbon-rich comets where efficient solar pumping (and inefficient collisional quenching) leads to strong C<sub>2</sub>H<sub>6</sub> fluorescence emission. The complexity of the band in comets is revealed in Figures 12, 13 and 14. The torsional mode is inactive to radiative decay and little is known about its possible collisional quenching or excitation. It is possible that the vibrational partitioning (of  $v_4$ ) imparted upon release at the cometary nucleus is maintained throughout the inner coma, and thus the torsional manifold could become increasingly disequilibrated from the rotational and kinetic distributions as the gas flows outward through the coma, ultimately permitting pumping from the torsional level and leading to  $v_7$  hot-band fluorescent emission. Our cometary data show no evidence of strong hot-band emission, but higher spectral resolution and a better understanding of the spectral character of the hot-band will be necessary to test its contribution or lack thereof to the total flux in the  $v_7$  region. Collision partners in cometary atmospheres usually lack sufficient energy to excite vibrational transitions and the rate of quenching collisions is much smaller than radiative decay rates for (infrared active) excited states. Thus, the vibrational manifold is not

populated in LTE (local thermodynamic equilibrium). Instead, solar radiation pumps the molecules into an excited vibrational state, which then de-excites by rapid radiative decay. Infrared photons are emitted through decay to the ground vibrational state, either directly (resonant fluorescence) or through branching into intermediate vibrational levels (non-resonant fluorescence). Resonance fluorescence is the expected dominant factor in the excitation and the sole pumping mechanism we consider here, although additional excitation cascading from levels with energies higher than  $v_7$  may also be active. We expect to expand the excitation for non-resonant fluorescence of the model, once spectroscopic data of related bands become available. Since fluorescence is a non-LTE process, computation of line-by-line fluorescence efficiencies (g-factors) entails the building of a full quantum mechanical model. This requires precise knowledge of the rotational structure (energy levels) for each vibrational level involved, statistical weights, selection rules, perturbations (e.g. Coriolis effects, splittings, tunneling) and band emission rates. The difficulty of this task has limited the development of new models, particularly for those molecules having a complex symmetry structure, and consequently only a limited set of line-by-line fluorescence emission models are currently available. In the case of C<sub>2</sub>H<sub>6</sub>, previous attempts to model  $v_7$  fluorescence in comets focused only on integrated Q-branch intensities but did not specify the fine rotational structure, aside from adopting a specific rotational temperature [Mumma et al. 1996, Dello Russo et al. 2001]. Another problem with most current cometary (infrared) fluorescence models is that they often assume the source for solar pumping is a simple black-body continuum with an effective temperature of the Sun. This approximation is somewhat correct for the

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continuum flux at certain wavelengths (2900 - 3300 cm<sup>-1</sup>), but it leads to inaccuracies 1 2 when computing pumping rates for individual ro-vibrational lines if the relative 3 heliocentric velocity of the comet shifts the pumping radiation field into solar absorptions 4 [Swings effect]. Omitting this effect will introduce not simply a relative error, but will 5 lead to incorrect retrievals of rotational and spin temperatures, since these are derived 6 from line-to-line intensity ratios. We replace the adopted blackbody radiation field with a 7 synthesis of the true solar spectra using a combination of empirical parameters from the 8 solar spectrum [Hase et al. 2006; 2010] calibrated with a stellar continuum flux model 9 [Kurucz 1997]; see Appendix B for a full discussion. Fluorescence emission rates (g-factors) are normally quantified as energy (or quanta) 10 emitted per second per molecule in units of [J s<sup>-1</sup> molec<sup>-1</sup>], [W molec<sup>-1</sup>], [photon s<sup>-1</sup> 11 molec<sup>-1</sup>] or simply [s<sup>-1</sup>], and are computed following a two-step process: 1) pumping to 12 13 the excited state and 2) relaxation to lower levels. The spectral constants needed to 14 compute g-factors can be extracted from an atlas of molecular lines, like HITRAN, if the 15 tabulated data are adequate. When validated, these databases are extremely valuable 16 repositories of the latest spectral constants and of line parameters that can be influenced 17 by complex perturbations such as Coriolis effects, splittings, resonances and tunneling. 18 Consequently we developed a General Fluorescence Model (GFM) to compute 19 fluorescence emission rates (see Appendix C), and applied it to our ethane line lists (hot 20 and cold  $v_7$  bands) by creating a complete atlas for this band system (as reported in Section 2). Apart from  $C_2H_6 \nu_7$  (Table 1) and  $\nu_5$  (Radeva et al. 2011), we successfully 21 applied this approach to other infrared band-systems (v<sub>1</sub> of HCN, v<sub>3</sub> of CH<sub>4</sub>, 1-0 bands of 22 CO and  $^{13}$ CO,  $v_1$  and  $2v_2$  of HDO,  $v_3$  and  $v_2+v_4+v_5$  of  $C_2H_2$ , Villanueva et al. in prep.). 23

# 5. Discussion of Models and Fluorescence Efficiency

# 3 5.1. LTE Validation: Results from Ground-based Measurements

4 We validate our synthetic terrestrial models by comparing them to infrared spectra of 5 Mars and comets acquired with different spectrometer/telescope combinations: CRIRES 6 (CRyogenic high-resolution InfraRed Echelle Spectrograph [Käufl et al. 2004]) at the 7 VLT (Very Large Telescope) in Chile, CSHELL (Cryogenic Echelle Spectrometer 8 [Tokunaga et al. 1990]) at the NASA-IRTF (InfraRed Telescope Facility) in Hawaii, and 9 NIRSPEC (Near-InfraRed Echelle SPECtrograph [McLean et al. 1998]) at the Keck II 10 Telescope in Hawaii. 11 In August 2009 we observed Mars using the CRIRES instrument, sampling the planet at 12 its mid-afternoon along meridian longitudes passing through Syrtis Major (an ancient 13 shield volcano) and the Hellas impact basin (geometry shown in Fig. 11a). These 14 observations reveal the recently discovered CO<sub>2</sub> band (Mars), multiple lines of water 15 (Mars, Earth), and the telluric absorption spectrum of the  $v_7$  band of  $C_2H_6$  (Fig. 9). In 16 January 2006, we sampled Mars at its mid-morning along meridian longitudes passing 17 through Valles Marineris and the Argyre impact basin (geometry shown in Figs. 11b-c) 18 using two CSHELL settings obtained on two consecutive days (Fig. 10). 19 For each observatory, we synthesized terrestrial transmittance and radiance spectra for 20 C<sub>2</sub>H<sub>6</sub> and other species by assuming default geometrical conditions for that high-altitude 21 site, assuming clear atmospheric conditions (no aerosols), 50 (optically thin) atmospheric 22 layers, and LTE populations. The atmospheric conditions (pressure, temperature and

1 abundances) are based on a standard tropical profile (Fig. 8), modified to describe the 2 observing conditions through two temperature parameters (T1 and T2), a pressure scaling 3 factor (PF) and abundance factors (AF, see profiles for CH<sub>4</sub> and C<sub>2</sub>H<sub>6</sub> in Fig. 8c). The 4 given pressure profile is scaled following  $P'(z) = P(z) \times PF$ , while the temperature profile 5 is divided into tropospheric (affected by T1) and stratospheric (affected by T2, see Fig. 6 8a) sectors. The abundance profiles are scaled relative to the tropical profile by a 7 molecular multiplier relative to the tropical value. For each dataset, we retrieved 8 abundances and the atmospheric parameters (T1, T2, PF) using a Levenberg-Marquardt 9 non-linear-minimization algorithm that compares the transmittance model to the 10 absorption features observed against the planetary continua. 11 Agreement of the terrestrial ethane residuals and synthesized spectra is very good, and 12 reveals strikingly different C<sub>2</sub>H<sub>6</sub> abundances at Mauna Kea in Hawaii and Cerro Paranal 13 in northern Chile. In particular, the CH<sub>4</sub> abundance was nominal for both sites, but the 14 C<sub>2</sub>H<sub>6</sub> abundance showed important seasonal variations and extremely low values for the 15 Southern hemisphere. At first, we were concerned that inaccuracies of the  $v_7$  band model 16 introduced these excursions, but earlier independent investigations confirm our retrievals 17 [e.g. Rinsland et al. 2002, Glatthor et al. 2009]. For instance, the recent study of Helmig 18 et al. [2009] reports similar trends from a vast global monitoring program of volatile 19 organic compounds (VOCs), including C<sub>2</sub>H<sub>6</sub>. Both CH<sub>4</sub> and C<sub>2</sub>H<sub>6</sub> mostly arise from 20 biogenic and anthropogenic emissions, although methane has a much longer lifetime (~8 21 years) than ethane (months, see Hough 1991) in the terrestrial atmosphere. 22 The short ethane lifetime causes important fluctuations in its atmospheric concentration, 23 since the sources and sinks vary geographically, and seasonally. This is particularly

1 evident in the Southern hemisphere where anthropogenic emissions are much smaller, 2 leading to a C<sub>2</sub>H<sub>6</sub> maximum 7 times lower than in the Northern hemisphere [Helmig et al. 3 2009], consistent with our findings [see Table 2]. At the summit of Mauna Kea, we 4 observe an equivalent volume mixing ratio (VMR) of 1 ppb, similar to that of Rinsland et 5 al. [1994] (1.1 ppb) for Mauna Loa at this season. The retrievals from Rinsland et al. [1994] were based on the  $v_7$   ${}^PQ_3$  sub-branch of ethane, but ignored the presence of the 6 hot-band absorption from the  $v_7 + v_4 - v_4$  band [Pine and Rinsland 1999] at these 7 8 frequencies. If we attempt to revise the values from Rinsland et al. [1994] considering 9 hot-band absorption and the new band intensity from PNNL spectra, their VMR would 10 become ~1 ppb, in excellent agreement with our results. These consistencies together 11 with the excellent agreement we obtained when we compared the synthetic models to 12 spectra taken using different instruments (Figs. 9, 10, 12, 13, 14) are important 13 validations of the  $v_7$  line-by-line model (including our updated spectral database) and the 14 radiative transfer modeling. 15 By properly accounting for the telluric absorption of C<sub>2</sub>H<sub>6</sub> in this spectral region, we 16 obtain better quality residuals, ultimately allowing us to perform very sensitive searches 17 for biomarker gases on Mars and other astronomical objects. Using the residuals 18 presented in Figures 9 and 10, we obtained the most sensitive upper-limits for ethane on 19 Mars ever measured [see Table 2]. We can expect immediate improvement in these 20 upper limits by including additional spectral scans.

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## 5.2. Solar Spectrum: Extraterrestrial and Cometary Spectra

The precise modeling of the solar spectrum is particularly important when observing planetary bodies in reflected light (e.g., Mars, Moon). The infrared radiation observed from Mars is a combination of reflected sunlight (with Fraunhofer lines) and planetary thermal emission (featureless continuum). Sparse spectral lines of Mars' atmospheric constituents are superposed on the continua according to the optical path experienced by the two components [see Figs. 9 and 10]. Sunlight experiences a double optical path (Sun-to-surface + surface-to-Observer), while the Mars "thermal" continuum traverses only a single path (surface-to-observer). We determine the relative contributions of solar and thermal emission to the measured continuum by comparing the measured area (equivalent width) of Fraunhofer lines with their true value (see Appendix A). This permits identification of the "effective" optical path needed to properly determine molecular abundances on Mars (see details in Villanueva et al. 2008a, Novak et al. 2003), and thus an accurate solar model has impact not only on the spectral residuals but also on the retrieval process. The signal-to-noise ratio (SNR) of the adopted solar spectrum limits the maximum achievable quality of the residuals. At 3 µm the typical SNR of the ATMOS solar spectrum [Abrams et al. 1996] is  $\sim 1000$  (1 $\sigma$ ), a sensitivity achievable in minutes with most bright infrared sources (e.g., Mars, flux standard stars) using current high-resolution spectrometers. To increase the SNR of the residuals, we combined empirical and observational data from ground-based and space-based instruments to obtain an improved model for the solar spectrum (see Appendix B). This new solar spectrum will greatly contribute to the search for weak spectral signatures within solar reflected sunlight; this is demonstrated with

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- 1 Figs. 9 and 10, where we observe excellent agreement between the solar features
- 2 imprinted in the Mars continua and those synthesized with the new solar model.
- 3 The precise description of solar features is of paramount importance when studying solar
- 4 pumped fluorescence in comets. The use of a non-realistic solar pumping flux would
- introduce errors up to 30% for certain g-factors of the  $v_7$  band of  $C_2H_6$ , and up to 40% for
- 6 selected lines of the 1-0 band of CO, for example. Because this (Swings) effect is
- dependent on the heliocentric velocity of the comet, fluorescence rates need be computed
- 8 for each orbital situation using the synthesized solar spectrum described in equation C-3.

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# 5.3. Validation of Fluorescence Efficiency Factors - Comets

- We have chosen three cometary datasets (8P/Tuttle, C/2007 W1 (Boattini), C/2004 Q2
- 12 (Machholz)) to test the new C<sub>2</sub>H<sub>6</sub> fluorescence model. These comets displayed bright
- ethane emissions and different rotational temperatures, in spectra acquired with CRIRES
- and NIRSPEC. Comet 8P/Tuttle (8P hereafter) was observed in Jan-Feb 2008 using
- 15 CRIRES at the VLT with the adaptive optics (AO) system [Fig. 12]. Using AO
- minimizes slit-losses and achieves an increased signal-to-noise in the central part of the
- 17 coma. The spatial resolution along the slit is high as well and is close to the diffraction
- 18 limit of the telescope.
- 19 Results for six volatiles including C<sub>2</sub>H<sub>6</sub> were presented in Böhnhardt et al. [2008]. At the
- 20 time of observations (26 January 2008), the cometary ephemerides were:  $r_h = 1.03 \ \mathrm{AU}$
- 21 (heliocentric distance),  $v_h = -0.37 \text{ km s}^{-1}$  (heliocentric velocity of the comet),  $\Delta = 0.49$
- AU (geocentric distance),  $v = 24.7 \text{ km s}^{-1}$  (geocentric velocity of the comet); and (as

retrieved from 19 water lines) the nucleus-centered rotational temperature was 60 <sup>+8</sup>/<sub>-9</sub> K 1 for H<sub>2</sub>O. We synthesized a fluorescence model for C<sub>2</sub>H<sub>6</sub> for the above mentioned 2 conditions, and retrieved a  $C_2H_6$  production rate of (1.74  $\pm$  0.06) x  $10^{26}$  molecules s<sup>-1</sup> for 3 4 8P, an improvement in the confidence limits of a factor of 3 (see Fig. 15) with respect to 5 the previously reported value derived with the old ethane fluorescence model (see Table 6 1 and Böhnhardt et al. [2008]). 7 A similar improvement was obtained with spectra of comet C/2004 Q2 (Machholz) (Q2 8 hereafter), which was observed using NIRSPEC in November 2004 and January 2005 9 [Bonev et al. 2009]. We applied the new C<sub>2</sub>H<sub>6</sub> model to the spectra taken on 19 January 2005 [Fig. 13] and retrieved a production rate of (13.3  $\pm$  0.25) x 10<sup>26</sup> mol s<sup>-1</sup> at 93K (a 10 correction of -12% to the value for T<sub>rot</sub> reported previously) with a confidence limit 11 improved by a factor of 3. Considering a Q(H<sub>2</sub>O) of  $(2.727 \pm 0.070) \times 10^{29} \text{ mol s}^{-1}$  as 12 reported by Bonev et al. [2009] for this date, this would correspond to an ethane mixing 13 ratio of  $0.488 \pm 0.016$  %. This mixing ratio is consistent with that derived from the  $v_5$ 14 band of ethane for November 28 2004 by Radeva et al. [2011] of  $0.48 \pm 0.06$  %. Lastly, 15 16 comet C/2007 W1 (Boattini) (W1 hereafter) was observed in July 2008 using NIRSPEC 17 [Fig. 14]. The comet has a particularly rich chemistry, and the ethane Q-branches are 18 very bright in our spectra. From the co-measured water spectrum and considering 20 lines 19 of  $H_2O$ , we retrieved a rotational temperature of  $79 \pm 3$  K for  $H_2O$  on 9 July 2008 (see 20 details in Villanueva et al., in prep.). The  $C_2H_6$  production rate for W1 at 79K is  $(2.35 \pm$ 0.02) x  $10^{26}$  mol s<sup>-1</sup>. For the three comets, we measured  $E_g/A_g$  ratios to be consistent with 21 equilibrium ( $T_{spin} > 10K$ ). 22

1 As shown in Figs. 12, 13, 14 and 15, the new model shows excellent line-by-line 2 agreement with the cometary datasets, ultimately allowing us to extract improved 3 cometary abundances [see Table 2]. The new model also has led to improved 4 understanding of this complex spectral region, where many other hydrocarbons have 5 strong spectral signatures (e.g., methanol (CH<sub>3</sub>OH), methane (CH<sub>4</sub>) and ethylene ( $C_2H_4$ )). 6 The improvement of the C<sub>2</sub>H<sub>6</sub> fluorescence model is attributed to differences between the 7 old [Dello-Russo et al. 2001] and new models arising from four aspects: 1) the previous 8 model did not properly account for the symmetries of  $\ell$ -splitting of rotational levels of 9 the  $v_7$  vibrational level, leading to incorrect ro-vibrational branching ratios; 2) we 10 introduced updated molecular constants, partition functions, and performed a line-by-line 11 analysis (instead of a temperature independent "g-band" analysis); 3) we included the 12 complete fine rotational structure (P, Q, and R-branches) of the  $v_7$  band (including hot-13 bands) leading to an overall increase in the considered flux integrated within each Q-14 branch; and 4) the new model considers a realistic solar pumping spectrum.

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### 6. Conclusions

We constructed a line-by-line model for the  $v_7$  band of ethane ( $C_2H_6$ ), and applied it to compute telluric transmittances, and cometary fluorescence efficiencies. The complex and dense rotational fine structure of the  $v_7$  band system was described using a set of accurate rotational constants for each K-ladder, including torsional hot-bands. The new band systems were integrated into an advanced radiative transfer model of the terrestrial atmosphere (LBLRTM), considering a rigorous line-by-line, layer-by-layer radiative

transfer analysis and including realistic atmospheric conditions, abundance profiles, and geometrical conditions. In addition to adding 17,266 ethane lines ( $v_7$  and  $v_7 + v_4 - v_4$ ) to the spectral database accessed by the terrestrial model, we updated and expanded the  $CO_2$  database by including our latest discoveries in the Martian atmosphere. Using these new models, we achieved excellent agreement with transmittance and fluorescence emission data recorded near 3.3  $\mu$ m using three different instruments located in the Northern and Southern hemispheres.

We computed cometary fluorescence emission rates for a wide range of rotational temperatures (10-200K), and successfully validated the model by comparing it to measured spectra of three comets (C/2007 W1 (Boattini), C/2004 Q2 (Machholz) and 8P/Tuttle). The model makes use of a novel approach to synthesize the solar pumping, which combines a theoretical continuum model and a highly precise solar line list. The methodology used to calculate cometary fluorescence emission rates was standardized to extract information from existing spectral databases on C<sub>2</sub>H<sub>6</sub> as well as all other molecules in the HITRAN database, and so is readily available to compute cometary fluorescence emission rates for multiple other molecules.

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**Rotational constants for the ground state** (Pine & Lafferty 1982)  $A_0 = 2.671 \qquad B_0 = 0.6630271 \qquad D_0^{K} = 1.09 \times 10^{-5}$   $D_0^{JK} = 2.660 \times 10^{-6} \qquad D_0^{J} = 1.0312 \times 10^{-6}$ 

Rotational constants for the v <sub>7</sub> vibrational state <sup>(a)</sup>									
		F	В	$D \times 10^{-6}$		r.m.s.			
Kℓ'	Sym	[cm <sup>-1</sup> ]	[cm <sup>-1</sup> ]	[cm <sup>-1</sup> ]	Lines	[cm <sup>-1</sup> ]			
-8	$A_s$	3120.55085	0.66367616	1.6379	6	0.00083			
-8	$E_{s}$	3120.57306	0.66354001	1.0500	4	0.00781			
-7	$E_{u}$	3089.50468	0.66330074	0.7167	15	0.00749			
-6	$E_{u}$	3062.67031	0.66214093	-2.0388	22	0.00632			
-5	$A_s$	3039.18324	0.66383076	17.2659	19	0.00750			
-5	$E_{s}$	3039.36507	0.66090799	-4.2371	10	0.00103			
-4	$E_{u}$	3020.45860	0.66348953	2.1859	25	0.00699			
-3	$E_{s}$	3005.61858	0.66348639	1.7780	11	0.01241			
-3	$G_{s}$	3005.63978	0.66329109	1.2006	23	0.00344			
-2	$A_{s}$	2994.84157	0.66312225	0.9459	14	0.00002			
-2	$E_{s}$	2994.83990	0.66312201	0.9767	10	0.00005			
-1	$E_{u}$	2988.09979	0.66303157	0.8945	36	0.00124			
0	$E_{u}$	2985.38894	0.66303542	1.0141	22	0.00155			
1	$A_u^{(b)}$	2986.72474	0.66308908	1.0296	31	0.00245			
1	$A_s^{(c)}$	2986.72634	0.66289178	0.9789	14	0.00002			
1	$E_s^{(c)}$	2986.73272	0.66285832	1.1544	10	0.00004			
2	$E_{s}$	2992.09430	0.66299073	1.2681	15	0.00241			
2	$G_{s}$	2992.09721	0.66292818	1.0268	32	0.00644			
3	$E_{s}$	3001.50382	0.66315921	1.4885	27	0.00776			
3	$G_{s}$	3001.50571	0.66294774	0.9871	26	0.00428			
4	$A_{s}$	3014.94266	0.66295652	0.9703	32	0.00111			
4	$E_{s}$	3014.93730	0.66296202	1.0594	16	0.00047			
5	$E_{u}$	3032.40473	0.66300958	0.8346	34	0.00127			
6	$E_{u}$	3053.85189	0.66352169	0.6270	53	0.00565			
7	$A_{u}$	3079.69389	0.65843003	-4.3470	41	0.00808			
8	$E_{s}$	3108.98384	0.66162133	0.5372	19	0.00072			
8	$G_{s}$	3108.98763	0.66150373	0.4632	38	0.00748			
9	$E_{u}$	3142.50886	0.66190851	0.8715	16	0.00164			
10	$A_{s}$	3180.03893	0.66210580	1.3212	22	0.00133			
10	$E_{s}$	3180.03545	0.66215115	1.3664	11	0.00185			

Perturbation coefficients and high order rotational constants <sup>(a)</sup>										
Kℓ'	Sym	$\mathbf{P_1}$	$P_2$	P <sub>3</sub>	<b>H</b> x 10 <sup>-11</sup> [cm <sup>-1</sup> ]					
-7	Eu	0.1760	9.6954	-1.3818						
-6	Eu				-240.7280					
-4	Eu	2.8068	21.2584	-1.7843	338.1293					
-3	$G_{s}$	0.2090	5.9299	-0.9862						
1	$A_{s}$	$A_s$			5.2861 <sup>(c)</sup>					
1	$E_{s}$				51.1299 <sup>(c)</sup>					
2	$G_{s}$	0.4384	23.9358	-1.0348						
3	$E_{s}$	0.3688	11.2986	-1.9035						
3	$G_{s}$	0.3524	13.5662	-1.7339						
7	$A_{u}$	0.0367	15.4387	-0.1476	-253.0972					
8	Gs	0.3183	20.7249	-1.3233						
10	$A_s$	0.0430	16.4071	-1.3051						
10	$E_{s}$	0.1812	17.6675	-0.9935						
Rotational constants for the $-8 > K > 10^{(d)}$										
	$v_0 = 2985.3953$ B' = 0.66311490 $A_5' = 0.34385599$									
	A'-B' = $2.0208281$ D <sub>J</sub> '= $8.9352 \times 10^{-7}$ D <sub>JK</sub> '= $6.8549 \times 10^{-6}$									
	$D_{K'} = -2.0192 \times 10^{-5}$ $n_{J'} = -7.82 \times 10^{-5}$ $n_{K'} = -7.12 \times 10^{-4}$									
Inten	sity fa	ctors for the $v_7$	band of ethan	2						
$S_v^{0}(296K) = 301(24) \text{ [cm}^{-2} \text{ atm}^{-1}] = 1.21(10) \times 10^{-17} \text{ [cm}^{-1}/(\text{molecule cm}^{-2})]$										
$\alpha = 0.0096(20)$ $\beta = -0.0034(20)$ $Q_R(296K) = 51617$										
Lines	Lineshape parameters for K beyond those reported in									
Malathy Devi et al. [2010a,b] <sup>(e)</sup>										
$n_1$ ( $N_2$ temperature dependence): $A = 0.6756$ $B = 0.0112$ ( $J_0 = 0$ )										
$n_2$ (SELF temperature dependence): $A = 0.5687$ $B = 0.0085$ ( $J_0=0$ )										
	$b_L^0(N_2)$ width: $a_0 = 0.1011 \ a_1 = -1.3352 \ x \ 10^{-3} \ a_2 = 1.9690 \ x \ 10^{-5}$									
$b_L^0(S)$	$b_L^0$ (SELF) width: $b_0 = 0.1401 \ b_1 = -1.6279 \ x \ 10^{-3} \ b_2 = 2.3211 \ x \ 10^{-5}$									

**Table 1**: Spectroscopic constants for the  $v_7$  band of ethane. (a) Rotational constants and perturbations were obtained for each K-ladder considering equations 2 and 3. (b) For  $^RQ_0$ , limitations in the description of the ground-state were circumvented by defining a different set of upper-state rotational constants for  $\Delta J \neq 0$ . (c) Rotational constants for the  $^RQ_0$  ( $\Delta J=0$ ) branch were obtained from Pine and Stone 1996. (d) For high K sub-bands, we fitted the energies of all lines (with the exception of the highly perturbed K"=4,5,6) to the global progression presented in equations 4 and 5. (e) For lines accessing K" higher than those available in Malathy Devi et al. [2010a,b], we considered the mean progressions as presented in Figure 4.

Level	$\ell$	K	J	D <sub>3d</sub> notation	G <sup>+</sup> <sub>36</sub> notation
Ground (A <sub>1g</sub> )	0	0	0,2,4	$A_{1g}(8)$	$A_{1s}(6) + E_{3s}(2)$
			1,3,5	$A_{2g}(16)$	$A_{2s}(10) + E_{4s}(6)$
		1,2,4,5		$E_g(20)$	$E_{1s}(4) + G_{s}(16)$
		3,6,9		$A_{1g}(8)+A_{2g}(16)$	$A_{1s}(6) + E_{3s}(2) + A_{2s}(10) + E_{4s}(6)$
	1	0,1,3,4,6,7	All	$E_{u}(20)$	$E_{2s}(4) + G_s(16)$
$\mathbf{v}_7$	-1	2,5,8	AII	$A_{1u}(8)+A_{2u}(16)$	$A_{3s}(6) + E_{3s}(2) + A_{4s}(10) + E_{4s}(6)$

 $E_u(20)$ 

1 2

3 4

5 6 7

8

 $(\mathbf{E}_{\mathbf{u}})$ 

+1

0,2,3,5,6,8

1,4,7

**Table 2**: Symmetries of the rotational levels of the ground and  $\nu_7$  vibrational states considering the  $D_{3d}$  and  $G^+_{36}$  point groups. The  $G^+_{36}$  permutation-inversion group is used when internal torsional tunneling is considered. Statistical weights for each spin species are presented in brackets.

 $A_{1u}(8)+A_{2u}(16)$ 

 $E_{2s}(4) + G_s(16)$ 

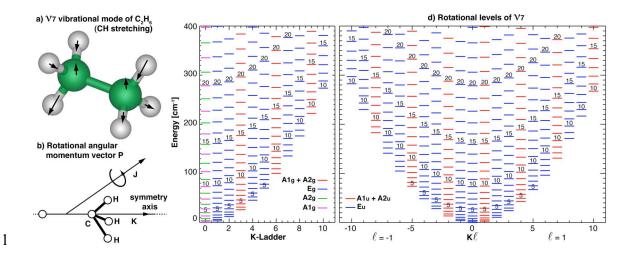
 $A_{3s}(6) + E_{3s}(2) + A_{4s}(10) + E_{4s}(6)$ 

ID	Frequency	Erot	g-factor <sup>(c)</sup>	Trans.	<b>TOA Flux</b> <sup>(b)</sup> x 10 <sup>-18</sup> [W m <sup>-2</sup> ]		Production rate x 10 <sup>26</sup> [s <sup>-1</sup> ]	
	$\left[\text{cm}^{-1}\right]^{(a)}$	[cm <sup>-1</sup> ]	$\times 10^{-5} [s^{-1}]$		x 10 10	[W m <sup>2</sup> ]	x 10 <sup>2</sup>	° [S ¹]
Comet C/2007 W1 (Boattini) – Trot = 79K						2.3513	0.0173	
$^{R}Q_{4}$	3000.2124	90.81	1.58545	0.8666	1.0369	0.0250	2.3613	0.0569
$^{\kappa}Q_2$	2993.4586	73.30	2.55965	0.9100	1.6418	0.0244	2.3210	0.0345
$^{R}Q_{1}$	2990.0776	63.13	3.07130	0.8132	2.0151	0.0256	2.3770	0.0301
${}^{R}Q_{0}$	2986.7182	56.56	3.97371	0.9453	2.6096	0.0204	2.3818	0.0186
$^{P}Q_{1}$	2983.3840	58.10	3.06727	0.9581	1.9262	0.0212	2.2802	0.0250
$^{P}Q_{2}$	2980.0774	67.43	2.58050	0.7873	1.7046	0.0267	2.4011	0.0375
$^{P}Q_{3}$	2976.7854	81.82	2.19640	0.9491	1.4041	0.0242	2.3263	0.0401
	Comet	C/2004 (	Q2 (Machhol	z) – Trot	= 93K		13.309	0.2514
$^{R}Q_{4}$	3000.2230	108.50	1.62755	0.8664	4.0881	0.0623	12.835	0.1955
$^{\rm K}{\rm O}_2$	2993.4579	84.47	2.44481	0.7769	6.1209	0.0719	12.822	0.1506
$^{R}Q_{1}$	2990.0752	75.42	2.85883	0.7882	7.4671	0.0604	13.392	0.1082
$^{\rm K}Q_0$	2986.7144	69.13	3.65410	0.8820	10.148	0.0643	14.256	0.0903
$^{P}Q_{1}$	2983.3840	69.34	2.84345	0.8796	7.1421	0.0498	12.907	0.0900
$^{P}Q_{2}$	2980.0768	78.37	2.45732	0.7311	6.3405	0.1423	13.274	0.2979
$^{P}Q_{3}$	2976.7883	93.62	2.18030	0.9213	5.3157	0.0585	12.556	0.1381
Comet 8P/Tuttle – Trot = 60K 1.7383 0							0.0594	
$^{R}Q_{4}$	3000.2855	100.18	0.95049	0.9095	0.2265	0.0406	1.6573	0.2969
$^{R}\mathbf{Q}_{1}$	2990.0819	49.10	3.29522	0.7555	0.8215	0.0686	1.7396	0.1452
$^{R}O_{0}$	2986.7192	42.09	4.48408	0.8769	1.1869	0.0468	1.8490	0.0729
$^{P}O_{1}$	2983.3820	44.73	3.36980	0.9115	0.7589	0.0425	1.5749	0.0882
$^{P}Q_{2}$	2980.0731	51.61	2.69160	0.7414	0.6785	0.0624	1.7649	0.1622

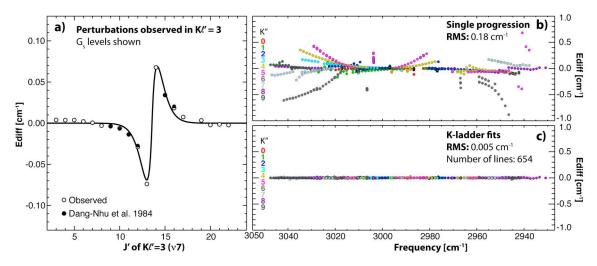
 **Table 3:** IDs, frequencies (v), weighted rotational energies ( $E_{rot}$ ), TOA g-factors (g) at  $R_h$ =1AU, transmittances, TOA measured fluxes and production rates (Q) for 7 Q subbranches of the  $v_7$  band of  $C_2H_6$ . a) The rest-frequency is the weighted mean of the frequencies composing each Q-branch, where the weight is the g-factor x transmittance for each compounding line. b) Total transmittance-corrected flux considering a 0.432 x 1.782 arcsec² box for the W1 and Q2 results (NIRSPEC) and 0.400 x 1.29 arcsec² for the 8P results (CRIRES). c) Integrated fluorescence efficiencies in the spectral region of the corresponding Q-branch from the new model synthesized with the appropriate rotational temperatures and heliocentric velocities – 8P/Tuttle:  $T_{rot}$  = 60 K and  $v_h$  = -0.37 km s<sup>-1</sup>, C/2007 W1:  $T_{rot}$  = 79 K and  $v_h$  = +9.70 km s<sup>-1</sup>, C/2004 Q2:  $T_{rot}$  = 93K and  $v_h$  = -2.01 km s<sup>-1</sup>.

Atmosphere	1. Abundance relative to std. profile	<b>2. Ethane (C<sub>2</sub>H<sub>6</sub>)</b> Mixing ratios				
Mars – Ethane (C <sub>2</sub> H <sub>6</sub> ) search <sup>(a)</sup>						
Mars - Ethane (C2H6) Search (4)						
62°N-62°S, 295-308°W at L <sub>s</sub> =323° (MY 29)	< 0.8 ppb (3σ)					
41°N-76°S, 57-67°W at L <sub>s</sub> =352° (MY 27), 6	41°N-76°S, 57-67°W at L <sub>s</sub> =352° (MY 27), 6 January 2006					
Earth – Ethane (C <sub>2</sub> H <sub>6</sub> ) surface level volume mixing ratio <sup>(b)</sup>						
Mauna Kea (4,200 m, Hawaii), 6 Jan 2006	$0.49 \pm 0.03$	(0.97 ± 0.06) ppb				
Paranal (2,635 m, Chile), 18 August 2009	$0.15 \pm 0.02$	$(0.30 \pm 0.04)$ ppb				
Comets - Ethane (C <sub>2</sub> H <sub>6</sub> ) abundance relative to water (H <sub>2</sub> O) <sup>(c)</sup>						
C/2007 W1 (Boattini), 9 July 2008, NIRSPI	(1.957 ± 0.053) %					
C/2004 Q2 (Machholz), 19 January 2005, N	$(0.488 \pm 0.016)\%$					
8P/Tuttle, 26 January 2008, CRIRES at VL	(0.291 ± 0.017) %					

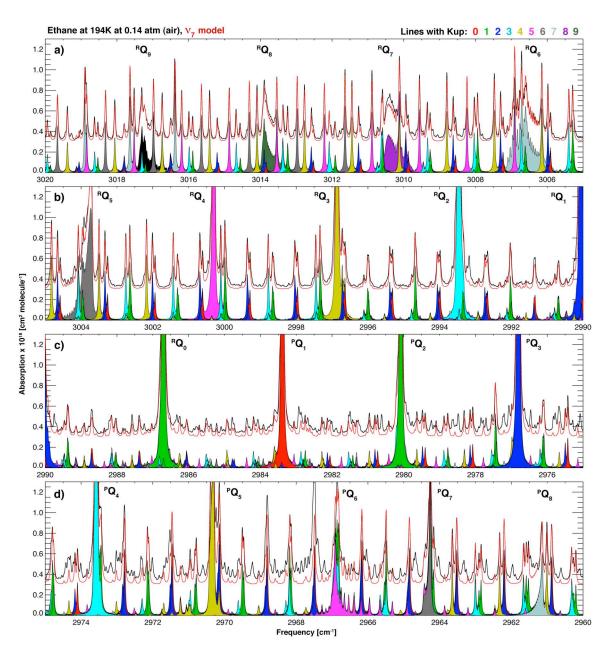
 **Table 4:** Measured ethane abundance in selected planetary and cometary atmospheres. **a)** Mars: We searched for ethane at 7 latitudinal intervals along the central meridian in August 2009 and January 2006, achieving extremely high sensitivities. The spectra presented in Figs. 4 and 5 also sample the methane P-branch, allowing us to obtain in Jan 2006 a sensitive upper limit for CH<sub>4</sub> of < 8 ppb [3σ] (consistent with Mumma et al. 2009). The data taken with CRIRES in August 2009 were test exposures and the Doppler-shift (-9.4 km s-¹) was not sufficient to search for CH<sub>4</sub> on Mars. **b)** Assuming the "standard" Northern hemisphere vertical profile as presented in Fig 3c, we retrieved the scaling factor presented in column '1'. A value of 0.15 (1/7) is consistent with the findings of Helmig et al. 2009 for the Southern Hemisphere. Mixing ratios at surface level on site are presented in column '2'. The value for Mauna Kea is similar to the findings of Rinsland et al. [1994] for Mauna Loa at this season (corrected for hot-band absorption, see text). **c)** The ethane mixing ratios in comets are defined with respect to water, the main volatile constituent.



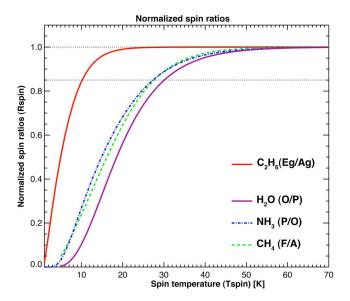
**Figure 1.** Rotational structure of the ground and  $v_7$  vibrational levels of  $C_2H_6$ . Panel 'a' shows the relative motions of the atoms of  $C_2H_6$  associated with the  $v_7$  vibrational mode. Panel 'b' shows the rotational angular momentum (related to the J quantum number), and its projection along the symmetry-axis (related to the K quantum number). Panels 'c' and 'd' show rotational levels for the ground and  $v_7$  vibrational states, respectively, with symmetries indicated by different trace types.



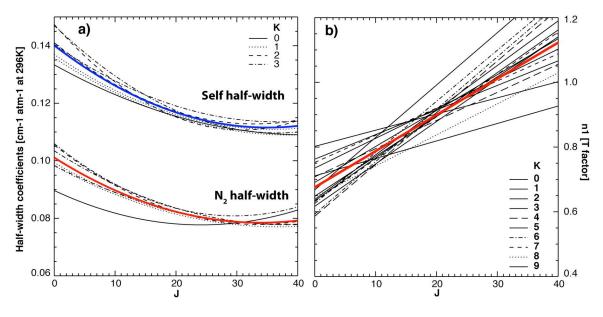
**Figure 2**: Description of the rotational levels of the  $v_7$  vibrational sate. Panel 'a' shows the effect of local perturbations on the energy distribution of the rotational levels. The empirical values were fitted to a quadratic progression and a perturbation function (trace) considering the values presented in Table 1. Panel 'b' shows the energy residuals obtained when performing a global fit to the rotational lines of the  $v_7$  vibrational level using a single progression for all K-ladders. Panel 'c' shows the residuals for 654 lines using individual coefficients for each K-ladder (see Table 1).



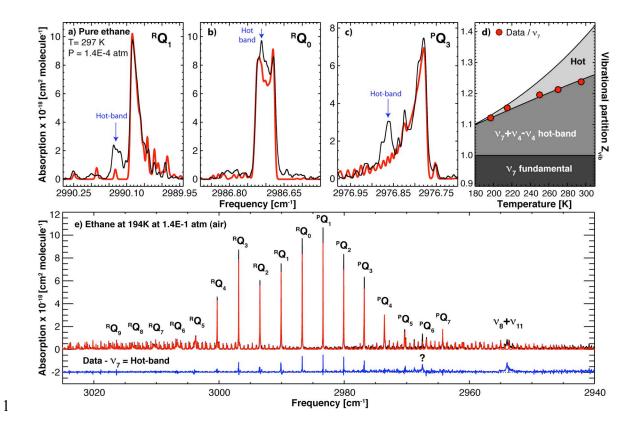
**Figure 3**: Individual K sub-bands of the  $v_7$  band of ethane compared to absorption cross sections obtained by Harrison et al. [2010] for a temperature of 194K and a pressure of 0.14 atm of synthetic air. The total model (red) and measured (black trace) absorption cross sections have been displaced by 0.3 for clarity purposes.



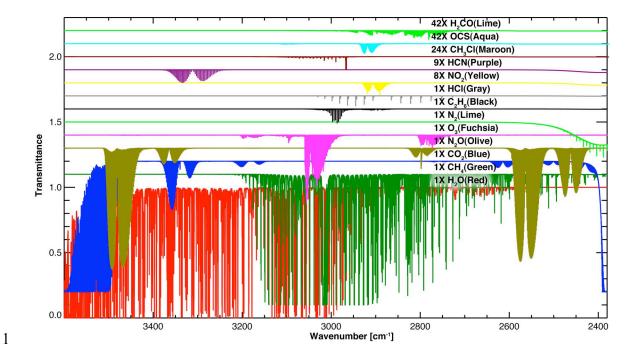
**Figure 4**: Symmetry ratio of ethane ( $A_g = A_{1g} + A_{2g}$  and  $E_g$  considering the  $D_{3d}$  point group,  $E_g/A_g=5/3$  at equilibrium) in comparison to water ( $H_2O$ , O/P=3 at eq.), ammonia ( $NH_3$ , P/O=1 at eq.) and methane ( $CH_4$ , F/A=9/5 at eq.).



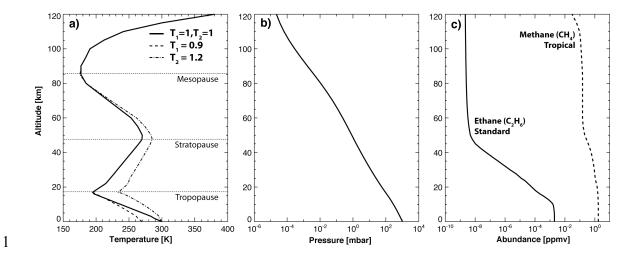
**Figure 5**: Lineshape parameters progressions retrieved by Malathy Devi et al. [2010a,b] for the  $v_9$  band of ethane and applied to our model of the  $v_7$  band. For K values with no available measurements we computed a weighted mean progression, shown in the figure with a thick color trace. The coefficients of the weighted mean progressions are presented in Table 1.



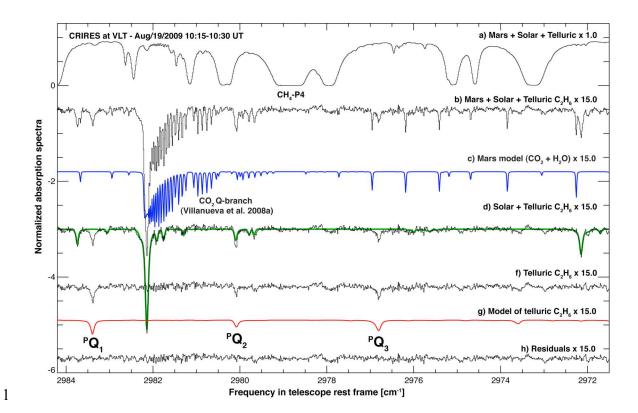
**Figure 6**: Identification of the  $v_7$  hot-band of ethane. Panels 'a', 'b' and 'c' show comparisons of model spectra with pure ethane spectra recorded by Harrison et al. [2010] at 297K and 1.4 x  $10^{-4}$  atmospheres. At these low pressures, the fine structure of the Q-branches is nicely revealed, showing unaccounted absorptions that we associate with the first torsional hot-band of the  $v_7$  band. Panel 'd' shows the ratio of the area of the strongest Q-branches (K" $\leq$ 4, with the exception of  $^PQ_1$ , see text) with respect to the modeled  $v_7$  absorption, integrated around ( $\pm 0.3$  cm $^{-1}$ ) at each K-band center. The fact that the ratio coincides with the vibrational partition function of the  $v_7$  level and its first torsional component suggests that these absorptions are related to the  $v_7+v_4-v_4$  hot-band.



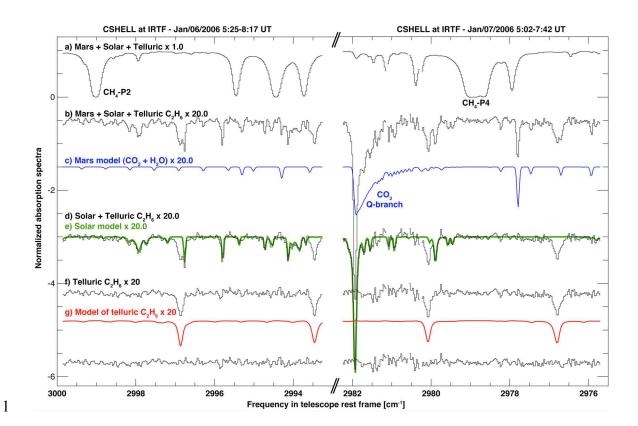
**Figure 7.** Representative terrestrial spectra synthesized with the LBLRTM line-by-line and layer-by-layer radiative transfer model, and utilizing our updated spectral database. The spectra were synthesized for airmass 1.0 (zenith), and (adopted) nominal abundances, temperatures and pressures for Mauna Kea at 4,200 m altitude.



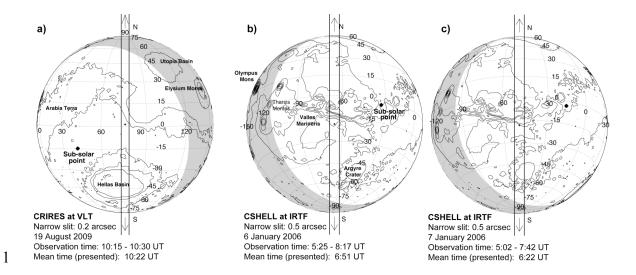
**Figure 8.** Vertical profiles of temperature (a), pressure (b), and mixing ratios for  $CH_4$  and  $C_2H_6$  (c) adopted for synthesizing terrestrial spectra. The temperature profile 'a' is organized into tropospheric (affected by T1) and stratospheric (affected by T2) sectors, while the pressure profile (Panel 'b') is the standard tropical pressure profile scaled with a multiplier (see text). Temperatures and pressures are scaled relative to the standard tropical value (Anderson et al. 1986).



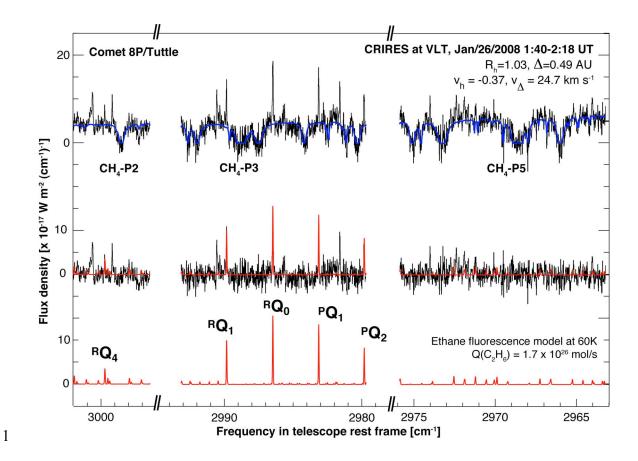
**Figure 9.** Mars infrared spectrum taken on 18 August 2009 with CRIRES at VLT (total of 8 minutes integration time on source). Trace 'a' shows the calibrated Mars continuum affected by terrestrial transmittance, and trace 'b' shows the Mars residual spectrum after removing a terrestrial model (with no  $C_2H_6$ ). Trace 'd' shows the residual spectrum after removing a Martian absorption spectrum containing  $CO_2$  and  $H_2O$  (trace 'c'). Trace 'd' shows the residual spectrum containing solar Fraunhofer lines and telluric  $C_2H_6$  lines, while trace 'e' is a model of the solar spectrum considering the new method presented in Appendix B. Trace 'f' shows the residual telluric ethane spectrum, and trace 'h' shows the overall residual after removing a terrestrial spectrum synthesized with the new  $C_2H_6$   $v_7$  band model (trace 'g').



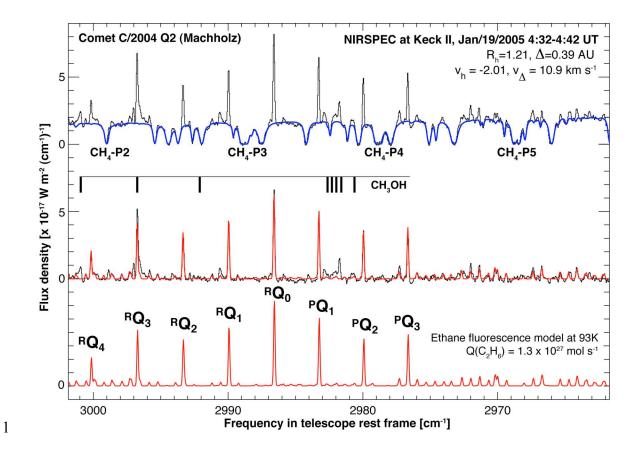
**Figure 10.** Mars infrared spectra taken on 6-7 January 2006 using two CSHELL-IRTF settings (the total integration time on source was 40 (52) minutes for the left (right) spectrum). See Figure 4 for a description of the traces. The spectral sharpness of the spectral features is higher in Figure 4 because of higher resolving power ( $\lambda/\Delta\lambda \sim 90,000$ ) with CRIRES (for CSHELL,  $\lambda/\Delta\lambda \sim 40,000$ ). The terrestrial  $C_2H_6$  lines appear stronger in the Northern hemisphere (compare Southern Hemisphere, Figure 4) because of the higher abundance of volatile organic compounds (VOCs, including  $C_2H_6$ ) that arise mainly from biogenic and anthropogenic emissions.



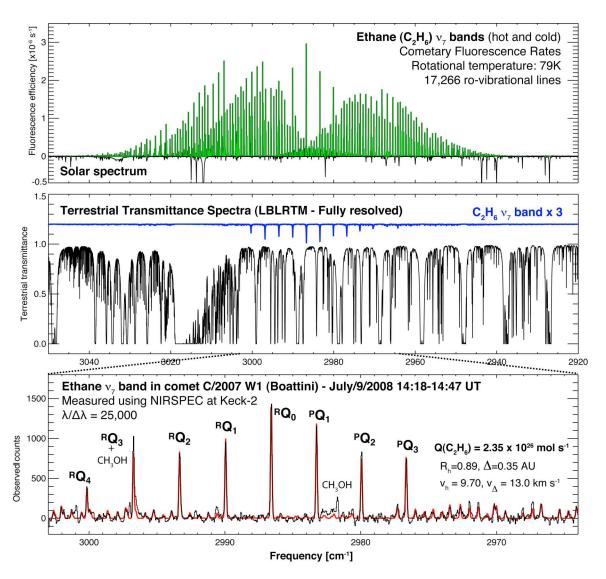
**Figure 11.** Geometry diagrams presenting the orientation of the spectrometer's entrance slit for CRIRES data taken on 19 August 2009 (a), and CSHELL data taken on 6-7 January 2006 (b and c panels). We used the narrowest slit for each instrument (0.2" for CRIRES, 0.5" for CSHELL), and oriented the slit North-South on the planet for the three measurements.



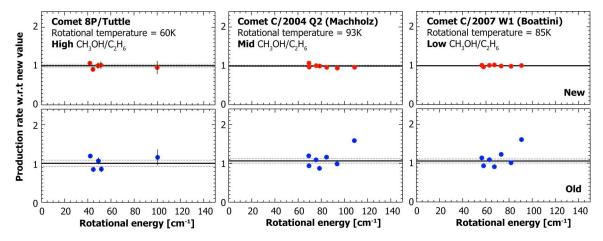
**Figure 12.** Infrared spectrum of comet 8P/Tuttle taken on 26 January 2008 using CRIRES at VLT (Böhnhardt et al. 2008). The upper trace shows a spectrum extracted from the sum of 15 spatial rows centered on the comet nucleus, and a continuum model affected by terrestrial transmittance is overlaid. The mid-trace (residual spectrum) reveals lines of the  $v_7$  band of  $C_2H_6$  in emission (with model overlaid).



**Figure 13.** Infrared spectrum of comet C/2004 Q2 (Machholz) taken on 19 January 2005 using NIRSPEC at Keck II (Bonev et al. 2009). The upper trace shows the measured spectrum extracted from the sum of 9 spatial rows centered on the comet nucleus, and a continuum model affected by terrestrial transmittance is overlaid. The mid-trace shows the emission residual that reveals the  $\nu_7$  band of  $C_2H_6$  (with model overlaid) and certain CH<sub>3</sub>OH lines.



**Figure 14.** Fluorescence model of the  $v_7$  bands of  $C_2H_6$  and comparison with spectra of comet C/2007 W1 (Boattini) taken on 10 July 2008 with NIRSPEC at Keck II. **Upperpanel**: ethane fluorescence emission rates (g-factors) for 17,266 lines of  $v_7$  (fundamental band) and  $v_7+v_4-v_4$  (hot-band) with  $T_{rot} = 79$  K,  $v_h = +9.70$  km s<sup>-1</sup> and  $R_h=1$ AU. **Midpanel**: terrestrial transmittance and telluric  $C_2H_6$  absorption synthesized using LBLRTM. **Lower-panel**: High-resolution spectrum of comet Boattini showing the fine structure of the  $v_7$  band of  $C_2H_6$  (with model overlaid) and certain  $CH_3OH$  lines.



**Figure 15.** Excitation diagrams showing total production rates retrieved from individual ethane Q sub-branches, based on the new and old models (see details in Table 1). Results considering the new model are shown relative to the "new" mean in the upper panels, while the "old" results are presented in the lower panels.

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# Appendix A: HITRAN corrections and updates

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2 We use the universally recognized HITRAN database as the base for our radiative 3 transfer model (using LBLRTM) and include our own corrections and updates. To the 4 HITRAN 2008 release: 1) we applied the current updates available on the HITRAN 5 website (http://www.cfa.harvard.edu/hitran/updates.html); 2) we added our new model for the  $v_7$  and  $v_7+v_4-v_4$  bands of  $C_2H_6$  (17,266 spectral lines); and 3) we expanded the 6 CO<sub>2</sub> database to include our latest discoveries in the Martian atmosphere (1780 lines of 4 7 8 bands, see below). 9 We recently discovered multiple unknown isotopic bands of CO<sub>2</sub> not previously 10 described in the refereed literature (and not present in the HITRAN atlas), when 11 analyzing spectra of CO<sub>2</sub>-rich Mars [Villanueva et al. 2008a,b]. The observations were 12 performed using high-resolution echelle spectrometers, allowing us to extract precise 13 spectroscopic constants for the levels involved (see Table 1 of Villanueva et al. [2008b] for the  $v_2 + v_3$  band of  $^{16}O^{12}C^{18}O$  at 3.3  $\mu m$ , the  $2v_1$  band of  $^{16}O^{12}C^{17}O$  at 3.6  $\mu m$ , and the 14 2v<sub>1</sub> band of the rare isotope <sup>16</sup>O<sup>13</sup>C<sup>18</sup>O at 3.7 μm). In a follow-up study by the Venus 15 Express SOIR Team, our detection of 2v<sub>1</sub> (<sup>16</sup>O<sup>13</sup>C<sup>18</sup>O) band was confirmed and they have 16 17 recently retrieved an improved set of spectroscopic constants for this band [Vandaele et 18 al. 2009]. A compilation of all constants is presented in Table A-1. 19

Level	<b>G</b> <sub>v</sub> [cm <sup>-1</sup> ]	<b>B</b> <sub>v</sub> [cm <sup>-1</sup> ]	<b>D</b> <sub>v</sub> [cm <sup>-1</sup> ] x10 <sup>-7</sup>	H <sub>v</sub> [cm <sup>-1</sup> ] x10 <sup>-13</sup>	$S_v^0$ [cm <sup>-1</sup> / mol cm <sup>-2</sup> ] x10 <sup>-25</sup>	a1		
<sup>16</sup> O <sup>12</sup> C <sup>18</sup> O (CO <sub>2</sub> 628) – Villanueva et al. 2008a, Rothman et al. 1992								
00001	0.0	0.36818450	1.18647	-0.150				
01111e	2982.11105	0.36573112	1.20268	0.217	- 1.83	-0.003		
01111f	2962.11105	0.36626757	1.20380*	-0.296*	1.63			
<sup>16</sup> O <sup>12</sup> C <sup>17</sup> O (CO <sub>2</sub> 627) – Villanueva et al. 2008b, Rothman et al. 1992								
00001	0.0	0.37861462	1.26428	0.000				
20001	2775.58690	0.37931621	1.48387	0.000	2.14			
<sup>16</sup> O <sup>13</sup> C <sup>18</sup> O (CO <sub>2</sub> 638) – Villanueva et al. 2008b, Vandaele et al. 2009, Rothman et al. 1992								
00001	0.0	0.36818116	1.18498	0.000				
20001	2701.932484	0.36833043	0.872951	0.000	0.53			

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**Table A-1.** Carbon dioxide (CO<sub>2</sub>) ro-vibrational constants and band strengths. The band strengths are for transitions from the ground level and for an excitation temperature of 296K. The value 'a1' is the first Herman-Wallis coefficient (Eq. 14 in Rothman et al. 1992).

## **Appendix B: Solar Spectrum**

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line-by-line model for the infrared solar transmittance [Hase et al. 2006] with a purely theoretical model for the solar continuum irradiance [Kurucz 1997]; see Fig. B-1. Knowledge of the solar spectrum has greatly improved in the last few decades, due to spacecraft measurements (ATMOS mission [Abrams et al. 1996], ACE instrument [Hase et al. 2010]), and the comprehensive solar survey performed at the McMath-Pierce telescope at the Kitt-Peak National Observatory [Wallace and Livingston 2003]. These measurements integrated light from the entire Solar disk, and thus include factors such as limb darkening and other effects. One of the biggest limitations of these databases is that they are not flux calibrated, and the spectra can only be used to extract transmittance information. On the other hand, theoretical models have been extremely successful in calculating a flux-calibrated solar continuum, but their prediction of solar spectral features is still not optimum. There are several theoretical solar models (e.g. [Tobiska et The Kurucz [1997] solar irradiance spectrum is considered best when averaged to [nm] resolutions [Fiorenza and Formisano 2005], but it does not describe the spectral morphology precisely (See Fig. B-2). In the case of the flux-calibrated solar spectrum presented in Fiorenza and Formisano [2005], we could not reproduce their reported values, and we suspect of an incorrect labeling of their flux units. Using the ATMOS spectrum and other ground-based measurements of the Sun, Hase et al. [2006] generated an empirical line-by-line list model of the solar transmittance spectrum. Recently, the same group has constructed a highly sensitive infrared solar

We created a high-resolution flux-calibrated solar spectrum by combining an empirical

spectrum from ACE-FTS observations [Hase et al. 2010] and they were able to assign

2 numerous weak absorption features (that were not detectable in the ATMOS solar

observations) due to the improved signal-to-noise of the ACE-FTS data. However, Hase

et al. [2010] did not create line-by-line empirical data, only solar transmittance as a

5 function of frequency.

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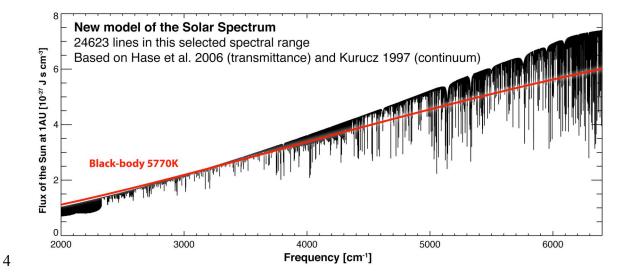
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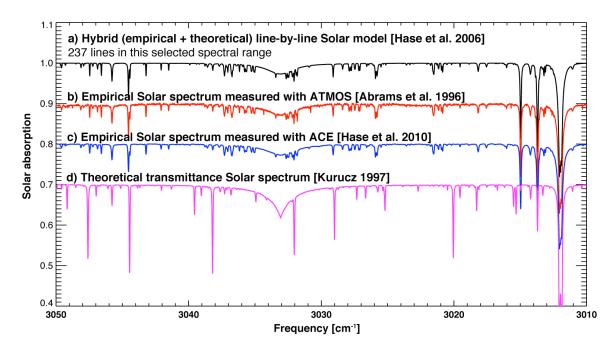
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The solar line list of Hase et al. [2006] includes intensities and identifications for each line and a parameterization of the lineshape (Gaussian w=0, Lorentzian w=1). It also accounts for center-to-limb variation of lines and continuum brightness temperature. In addition, the synthetic spectrum generated in this manner has a much greater signal-tonoise ratio, since each line can be properly described by just 4 line parameters. By multiplying this realistic transmittance spectrum of Hase et al. [2006] with the highly accurate continuum model of Kurucz [1997], we generated a flux-calibrated solar spectrum in the wavelength range 700-6400 cm<sup>-1</sup> (see Fig. 7). We simulate the integrated disk-spectrum by convolving the synthetic spectra with a solar rotation line-profile, which includes differential rotation (A:14.713 deg/day, B:-2.396 deg/day, C: -1.787 deg/day; Snodgrass and Ulrich, 1990), limb darkening (u=0.6) and the capability to compute integrated disk spectra for different inclinations (see Fig. B-3). At the ecliptic the broadening by this effect is ~2.5 km s<sup>-1</sup> (FWHM). This approximation is far from optimum, but it provides an intermediate solution until a line-list for the integrated-disk at different inclinations becomes available. This spectrum was used to compute the fluorescence pumping in Eq. C-3. In Figure B-2 we show a comparison between the spacecraft solar spectrum measured by the ATMOS instrument [Abrams et al. 1996], the empirical line-by-line solar model using ATMOS data [Hase et al. 2006], the solar

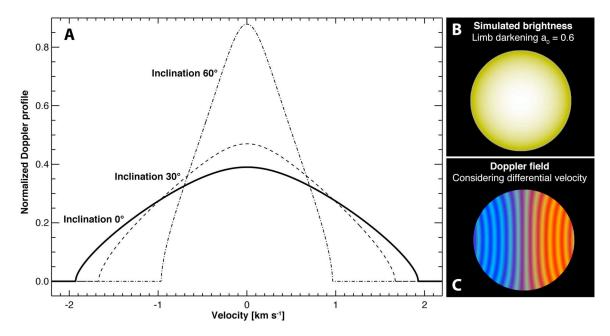
- spectrum of Hase et al. [2010] using ACE-FTS data, and the theoretical solar irradiance
- 2 spectrum by Kurucz [1997].



**Figure B-1.** Spectrum of the Sun in the near-infrared region (1.6 to 5 μm) based on a theoretical model for the continuum [Kurucz 1997] and a new solar line list [Hase et al. 2006]. The grey trace shows the continuum of a black body at 5770 K.



**Figure B-2.** Comparison of measured and synthetic solar spectra. Trace 'a' was synthesized adopting 237 solar lines listed in the Hase et al. [2006] atlas and utilizing 4 parameters per line (center, strength, width and shape parameter). Trace 'b' is the solar spectrum as measured with the ATMOS instrument from the Space Shuttle, while 'c' is the solar spectrum as measured with the ACE instrument onboard SCISAT-1. Trace 'c' is the spectrum modeled by Kurucz [1997], with spectral lines included; it clearly does not reproduce the observed spectra (b, c). For clarity purposes, trace 'b' was shifted vertically by -0.1, trace 'c' by -0.2 and trace 'd' by -0.3.



**Figure B-3. A.** Considered solar rotation Doppler profiles for different inclinations relative to the rotation plane. These profiles are used to transform the synthetic disk-center spectra by Hase et al. [2006] to disk-integrated spectra at different inclinations in the solar system. **B.** Simulated line intensity considered a limb darkening coefficient a<sub>0</sub> of 0.6. **C.** Doppler field computed considering latitude differential velocity using coefficients retrieved from Doppler measurements [Snodgrass and Ulrich, 1990]. Blue colors indicate motion towards the observer, while red colors indicate motions away from the observer. Yellow vertical lines are traces of iso-velocity.

# **Appendix C: General Fluorescence Model (GFM)**

2 We require 11 parameters from the HITRAN database to compute g-factors: molecular identification (M), isotopologue number (I), frequency of the transition (v [cm-1]), 3 Einstein A-coefficient (A<sub>21</sub> [s<sup>-1</sup>]), lower state energy (E" [cm<sup>-1</sup>]), upper and lower global 4 vibrational quantum numbers (V', V"), upper and lower local rotational quantum numbers 5 6 (L', L"), and upper and lower statistical weights (w', w"). (To avoid confusing 7 fluorescence emission rates (g-factors) with statistical weights, we use the letter 'w' 8 (instead of the standard terminology, 'g') to refer to the latter). Even though Einstein A-9 coefficients can be computed from absorption line intensities [Šimečková et al. 2006], the 10 inclusion of A-coefficients in HITRAN since 2004 (which replaced the weighted square 11 of the transition moment) has been a great advance, and is of significant value to studies 12 related to non-LTE processes. 13 In computing line-by-line fluorescence efficiencies (g-factors) we need to build a full quantum mechanical model for a molecular band. We illustrate that process for C<sub>2</sub>H<sub>6</sub>. In 14 15 the following sections we present how we (a) create the ro-vibrational structure of the 16 energy levels, (b) compute the total partition function (Q<sub>tot</sub>), (c) calculate pumping rates, and (d) calculate emission rates. 17

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#### C.1. Re-creation of the ro-vibrational energy-levels

The ro-vibrational structure of the energy levels involved in a particular band system can be straightforwardly retrieved from the information of the individual lines in the HITRAN database (however, it is important to validate the numbers because numerous

- 1 errors exist in the database). For example, by isolating lines from a defined band, and
- 2 using 6 parameters (v, E", L', L", w', w") for each line, we can recreate an indexed
- 3 rotational structure (energy) of the lower and upper states: E''(L'') = Ei''; E'(L') = Ei'' +
- 4 v; where i is the line index, and L' and L" refer to the local quantum numbers for the
- 5 levels that is determined by the rotational structure. For linear molecules like CO (carbon
- 6 monoxide) and C<sub>2</sub>H<sub>2</sub> (acetylene), the local quantum number is described by a single value
- 7 (L = J, where J is the total angular momentum); for symmetric tops L = (J, K,  $\ell$ ) (e.g.
- 8 C<sub>2</sub>H<sub>6</sub>); for molecules like HDO (a prolate asymmetric top) the structure is stored in a E(J,
- 9  $K_a$ ,  $K_c$ ) array and the local quantum numbers would be  $L = (J, K_a, K_c)$ .

## C.2. Computation of the partition function

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- 12 Computation of the total partition function (Qtot) is particularly difficult, requiring
- complete knowledge of all electronic, vibrational, and rotational modes. In the seminal
- paper by Gamache et al. [1990], this problem was addressed for a limited set of linear
- 15 molecules in the 70-3000K range; the first step in the development of the now widely
- used TIPS (Total Internal Partition Sums) program. This study has now been extended to
- the full database, and currently the HITRAN database provides total partition sums for all
- molecules and isotopologues present in the database for the 70-3000 K temperature range
- 19 [Goldman et al. 2000, Fischer et al. 2003, see Pine and Rinsland 1999 for  $C_2H_6$ ].
- 20 For temperatures lower than 70K, we have considered an analytical approach where we
- 21 compute the vibrational and rotational partition functions independently. By using the
- 22 retrieved rotational structure we compute the rotational partition function as:

$$Q_r = \sum_{i=L_{min}}^{L_{max}} w_i^{"} \exp\left(-c_2 E_i^{"}/T\right)$$
 (C-1)

For most molecules (not  $C_2H_6$ ), the vibrational partition function for temperatures lower than 70K can be assumed to be unity, since they are only populated in their lowest vibrational/electronic level, and herewith the total partition function can be approximated to  $Q_{tot} = Q_eQ_vQ_r \sim Q_r$  for low temps. In the case of  $C_2H_6$  with a low-energy vibrational-

6 level at ~289 cm<sup>-1</sup> (Ev<sub>4</sub>, torsional mode), we computed the vibrational partition for

7 temperatures lower than 70K as following:

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$$Q_{vib} = \left[1 - \exp(-c_2 E_{V4}/T)\right]^{-1}$$
 (C-2)

#### C.3. Computation of fluorescence pumping rates

Perhaps one of the most valuable elements of spectral line lists is that the selection rules are explicitly defined by the existence (or non-existence) of a line. In addition, Hönl-London factors and Herman-Wallis effects (which are molecule and band dependent) are directly contained in the line intensities and A-coefficients. By iterating through the line atlas for each line, we compute the pumping rate (g<sub>pump</sub> [s<sup>-1</sup>]) from the reported A-coefficient (A<sub>21</sub>), and add it to the corresponding upper-state population defined in L' (J',...) following [Crovisier and Encrenaz 1983, Weaver and Mumma 1984, Šimečková et al. 2006]:

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$$g_{pump}(L') = \sum_{s} J_{s}(v_{s}) \cdot B_{12} \cdot w'' \exp(-c_{2} E''/T) / Q_{tot}(T)$$
 (C-3)

$$A_{tot}(L') = \sum A_{21}$$
 (C-4)

$$B_{12} = B_{21} \cdot \frac{w'}{w''} \tag{C-5}$$

$$B_{21} = A_{21} \cdot \left(8\pi h v^3\right)^{-1} \tag{C-6}$$

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$$v_s = (1 - u/c)v$$
 (C-7)

- 4 where  $J_s$  is the solar flux ([J s cm<sup>-3</sup>], see Appendix B) at the Doppler shifted frequency  $v_s$
- of the line (being u [cm s<sup>-1</sup>] the relative velocity between the comet and the sun and c the
- speed of light [cm s<sup>-1</sup>]),  $B_{12}$  the Einstein coefficient for induced absorption [J<sup>-1</sup> s<sup>-2</sup> cm<sup>3</sup>],
- 7  $B_{21}$  the Einstein coefficient for stimulated emission [J<sup>-1</sup> s<sup>-2</sup> cm<sup>3</sup>],  $A_{tot}$  the sum of Einstein
- 8 A-coefficients [s<sup>-1</sup>] mapping to the upper state, and h is Planck's constant [J s].

10

# C.4. Computation of fluorescence emission rates

- 11 Once all pumps are computed, the branching ratios for each line 'i' are calculated as
- 12  $A_{21}/A_{tot}$ , and with this cometary fluorescence rates (g-factor) are calculated as:

13 
$$g_i = g_{pump}(L') \cdot \frac{A_{21}}{A_{tot}(L')}$$
 (C-8)

- Even though the branching ratios  $(A_{21}/A_{tot})$  are temperature independent, the line-by-line
- pumping rates (g<sub>pump</sub>) are not, and thus g-factors must be computed for every line at the
- appropriate temperature.